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TRANSMITTAL FORM

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11

Application Number	10/827,121
Filing Date	April 16, 2004
First Named Inventor	John D. Baxter
Group Art Unit	1631
Examiner Name	Carolyn L. Smith
Attorney Docket Number	407J-981114US

ENCLOSURES (check all that apply)

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Signature	
Date	January 7, 2005

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QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

By Deborah Berwick
Deborah Berwick

Attorney Docket No. 407J-981114US
Client Ref. No. 96-026-5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

John D. Baxter, et al.

Application No.: 10/827,121

Filed: April 16, 2004

For: NUCLEAR RECEPTOR LIGANDS
AND LIGAND BINDING DOMAINS

Examiner: Carolyn L. Smith

Art Unit: 1631

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR § 1.97 and
§ 1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on the attached PTO-1449 form are being called to the attention of the Examiner to make of record references cited in parent application USSN 08/980,115 (now U.S. Patent 6,266,622) filed November 26, 1997. An additional 1449 form citing an additional reference is also enclosed. A copy of the newly cited reference is enclosed. Pursuant to 37 CFR § 1.98(d), copies of references cited in parent application USSN 08/980,115 (now U.S. Patent 6,266,622) filed November 26, 1997 are not provided. However the applicants will gladly provide fresh copies of any references requested by the Examiner.

It is respectfully requested that the cited information on the attached 1449 form(s) be expressly considered during the prosecution of this application, and that references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



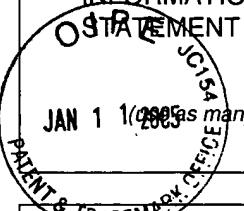
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Reg. No. 41,595

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<p style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p style="text-align: center;">JAN 1 1/2005 (as many sheets as necessary)</p> 		Application Number	10/827,121	
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		Examiner Name	Carolyn L. Smith	
		Attorney Docket Number	407J-981114US	
		Date Submitted	January 7, 2005	

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appeal
		Number	Kind Code (if known)			
1	4,741,897			Andrews et al.	05-03-1988	
2	4,766,121			Ellis et al.	08-23-1988	
3	4,826,876			Ellis et al.	05-02-1989	
4	4,910,305			Ellis et al.	03-20-1990	
5	5,061,798			Emmett et al.	10-29-1991	
6	5,116,828			Miura et al.	05-26-1992	
7	5,171,671			Evans et al.	12-15-1992	
8	5,284,999			Chin et al.	02-08-1994	
9	5,312,732			Evans	05-17-1994	
10	5,322,933			Davies et al.	06-21-1994	
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12	5,438,126			DeGroot et al.	08-01-1995	
13	5,463,564			Agrafiotic et al.	10-31-1995	
14	5,466,861			Dawson et al.	11-14-1995	

FOREIGN PATENT DOCUMENTS									
Examiner Initials	Cite No.	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T		
		Office	Number	Kind Code (if known)					
15	EP	355,628			08-11-1989				
16	WO	97/21993		Regents of the University of California	06-19-1997				
17	WO	98/07435		Regents of the University of California	02-26-1998				
18	WO	98/57919		Regents of the University of California	12-23-1998				

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
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	72	LEDOUARIN ET AL. (1995) "The N-Terminal Part of TIF1, a Putative Mediator of the Ligand-Dependent Activation Function . ." <i>EMBO Journal</i> 14:2020-2033.	
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93	O'DONNELL ET AL. (1991) "Thyroid Hormone Receptor Mutations that Interfere with Transcriptional Activation Also Interfere with Receptors." <i>Mol Endocrinol</i> 5:94-99.	
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Substitute for form 1449A-B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	10/827,121
		Filing Date	April 16, 2004
		First Named Inventor	John D. Baxter
		Group Art Unit	1631
		Examiner Name	Carolyn L. Smith
		Attorney Docket Number	407J-981114US
		Date Submitted	January 7, 2005

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U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code (if known)		
AA	5,298,429			Evans et al.	03-29-1994
AB	5,307,287			Cramer III et al.	04-26-1994
AC	5,459,077			Moore et al.	10-17-1995

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Office	Number	Kind Code (if known)		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
	AD	BOURGUET ET AL. (1995) "Purification, functional characterization and crystallization of the ligand binding domain of the retinoid X receptor." <i>Protein Expression and Purification</i> 6: 604-608.			T
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